BOX PATENT

Attorney Docket No. 23356-M5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Bai-He LI et al.

Serial No. not yet assigned

Filed: September 1, 1998

For: **OXO-SUBSTITUTED COMPOUNDS, PROCESS FOR MAKING, AND COMPOSITIONS AND METHODS FOR INHIBITING PARP ACTIVITY**

Continuation-in-Part of S.N. 09/079,509 filed May 15, 1998 and Continuation-in-Part of S.N. 08/922,520 filed September 3, 1997.

TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231
Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

(1) Transmittal Letter;
(2) Filing Form For Continuation-in-Part U.S. Patent Application;
(3) Continuation-in-Part application including:
144 Pages Application Consisting of:
90 Pages of Textual Specification
51 Pages of 183 Claims
1 page Abstract of the Disclosure
2 sheets of drawings; and
(4) Executed Inventor's Declaration
(5) Assignment with recordation sheet
(6) Small Entity Statement
(7) Check No. 10261 $2885.00 for the Government filing fee
(8) Check No. 10262 $ 40.00 for the recordation of the Assignment

Please charge any fee deficiency, or credit any overpayment, in connection with this matter to Deposit Account No. 14-0112.

Respectfully submitted,
NATH & ASSOCIATES

Date: September 1, 1998

By: _____

Gary M. Nath
Registration No. 26,965
Karen L. Orzechowski
Registration No. 31,621

NATH & ASSOCIATES
1835 K Street N.W.,
Suite 750
Washington, D.C. 20006-1203
Tel: (202) 775-8383
Fax: (202) 775-8396

NATH & ASSOCIATES
Attorneys at Law
1835 K Street, N.W.
Suite 750
Washington, D.C. 20006-1203

TELEPHONE (202) 775-8383
FACSIMILE (202) 775-8396
(202) 822-9409

Patent, Trademark and Copyright Causes,
Unfair Competition, Trade Secrets,
Licensing and Antitrust

E-Mail: ip@nathlaw.com

Gary M. Nath (NJ)
*Irvin A. Lavine (DC)**
Karen Lee Orzechowski (DC, PA)
Jordan S. Weinstein (DC, NY, VA, PA, NJ)
Harold L. Novick (DC,MD)
Suet M. Chong (DC)
Todd L. Juneau (DC, IL)
Patricia M. Drost (DC,VA)
Gregory B. Kang (NJ)
Michelle L. Hartland (VA)
Scott F. Yarnell (MD)
Lee C. Heiman (CA)
Paul A. Sacher (MD)
*Robert G. Lev (DC)**
*Donald M. Sandler (MD)**
*Alvin E. Tanenholtz***
*Jerald L. Meyer***

** Of Counsel*
***Registered Patent Agent*

FILING FORM

FOR CONTINUATION-IN-PART U.S. PATENT APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

For: OXO-SUBSTITUTED COMPOUNDS, PROCESS OF MAKING, AND COMPOSITIONS AND METHODS FOR INHIBITING PARP ACTIVITY
Inventor(s): LI et al.
Attorney Docket: 23356-M5

1. This is a Request for filing a
 - __ 37 CFR § 1.60 ("Rule 60") Continuation*
 - __ 37 CFR § 1.62 Continuation ("FWC")
 - __ Divisional Application under:
 - __ 37 CFR § 1.53*
 - __ 37 CFR § 1.60* ("Rule 60")
 - __ 37 CFR § 1.62 ("FWC")
 - X Continuation-In-Part (CIP) application
 - X 37 CFR § 1.53*
 - __ 37 CFR § 1.62 ("FWC")

of **prior** ("parent") application:
Serial No. 09/079,509
Filed: May 15, 1998
Entitled: OXO-SUBSTITUTED COMPOUNDS, PROCESS OF MAKING, AND COMPOSITIONS AND METHODS FOR INHIBITING PARP ACTIVITY

this in-turn being a Continuation-In-Part of **prior** ("parent") application:
Serial No. 08/922,520

Docket No. 23356-M5

Filed: September 3, 1997

Entitled: OXO-SUBSTITUTED COMPOUNDS, PROCESS OF MAKING, AND COMPOSITIONS AND METHODS FOR INHIBITING PARP ACTIVITY

2. X *Enclosed for applications under 37 CFR §§ 1.53 or are:
142 pages of text (specification, claims, abstract)
183 claims
2 sheets of drawings

3. The **present** application names the following inventor(s):
Jia-He LI
Kevin L. TAYS
Jie ZHANG

_ (37 CFR § 1.60--"Rule 60") Enclosed is a true copy of the prior application as originally filed; amendments (if any) referred to in the oath or declaration filed to complete the prior application did not introduce new matter.

_ (37 CFR § 1.62--"FWC") The above-identified application (in which no payment of the Issue Fee, abandonment of or termination of proceedings has occurred) is hereby expressly abandoned as of the filing date of this new application. Please use all the contents of the prior application file wrapper, including the drawings, as the basic papers for this new application.

The **Total Filing Fee** is calculated as follows:

_ Enter the Amendment filed in the prior patent application on _____ prior to calculating the filing fee.

	(Col 1) NUMBER OF CLAIMS FILED		(Col 2) NUMBER OF EXTRA CLAIMS	SMALL ENTITY RATE	SMALL ENTITY FEE	OR	NON-SMALL ENTITY RATE	NON-SMALL ENTITY FEE
TOTAL	183	minus 20	163	x11=	$ 1793		x22=	$ 0
INDEP	20	minus 3	17	x41=	$ 697		x82=	$ 0
BASE FILING FEE:				+395=	$ 395		+790=	$ 0
xx Multiple Dependent Claims				+135=	$ 0		+270=	$ 0
				TOTAL	$ 2885	OR	TOTAL	$0

_ Applicant(s) is/are a "large entity".

X A check including the above-indicated filing fee is enclosed.

_ A Petition for Extension of Time (to keep the parent case pending) is enclosed, with a check including the $_ extension fee.

Docket No. 23356-M5

x The assignment doc[redacted]nt(s), is/are submitted herewith with a [redacted]k in the amount of $ 40.00 for the recordation.

_ No check is enclosed. A Declaration and Power of Attorney will be submitted at a later date pursuant to 37 CFR §§ 1.41, 1.53, together with a check for the "Total Filing Fee" calculated above and a surcharge under 37 CFR § 1.16(e).

x An original executed Declaration is enclosed.

x Amend the specification by inserting before the first line the sentence:

a' --This application is a continuation-in-part application of U.S. patent application Serial No. 09/079,509, filed May 15, 1998, and also of U.S. patent application Serial No. 08/922,520, filed September 3, 1997, the contents of which are incorporated herein in their entirety.--

x The power of attorney in the prior application is to Gary M. Nath, Reg. No. 26,965, Irvin A. Lavine, Reg. No. 16,838; Karen L. Orzechowski, Reg. No. 31,621, Suet M. Chong, Reg. No. 38,104; Patricia Drost, Reg. No. 29,790, and Todd L. Juneau, Reg. No. 40,669.

_ If extensions of time under 37 CFR § 1.136 other than those provided herewith are required to prevent abandonment of the parent application, then such extensions of time are hereby petitioned to allow copendency of the parent and the present continuing application. The Commissioner is hereby authorized to charge fee deficiency under 37 CFR § 1.17, or credit any overpayment, to Deposit Account No. 14-0112.

x The Commissioner is hereby specifically authorized to charge any fee deficiency under 37 CFR §§ 1.16 and 1.17, or credit any overpayment, to Deposit Account No. 14-0112.

Respectfully submitted,

NATH & ASSOCIATES

Date: September 1, 1998

Gary M. Nath
Reg. No. 26,965
Karen L. Orzechowski
Reg. No. 31,621

NATH & ASSOCIATES
1835 K Street, N.W., Suite 750
Washington, D.C. 20006
Tel: (202) 775-8383
Fax: (202) 775-8396
GMN/KLO/ph:/b:\cipapp.frm

09/145,969 09/01/98